

10-15-01

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: James A. Hagan et al.

For: CLEANING POLISH ETCH COMPOSITION AND PROCESS FOR A  
SUPERFINISHED SURFACE OF A SUBSTRATE

Enclosed are:

- ☒ 25 Pages of Specification, 5 Pages of Claims, 1 Page of Abstract, 6 Sheets of Drawings  
☒ A Declaration and Power of Attorney  
☒ An Information Disclosure Statement and Form PTO-1449  
☐ A certified copy of a \_\_\_\_\_ application, Application No. \_\_\_\_\_, filed \_\_\_\_\_  
☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595  
☐

The filing fee has been calculated as follows:

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	32 -20 =	12
Indep. Claims	3 -3 =	0
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 710.00
x \$18.00=	236.00
x \$80.00=	0.00
\$270.00	\$ 0.00
<b>TOTAL</b>	<b>946.00</b>

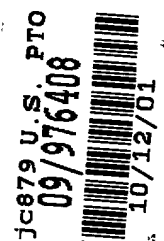
## EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK207989823US  
Date: October 12, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*James R. Nock*  
James R. Nock

Patent Application Transmittal  
Attorney Docket No.: ROC920010283US1



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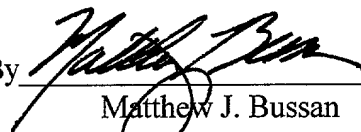
Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$946.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

Date: September 20, 2001

By



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Attorney Docket No.: ROC920010283US1